

**Executive Branch Personnel  
Public Financial Disclosure Report (OGE Form 278e)**

**Filer's Information**

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**Finch, Andrew**

Principal Deputy Assistant Atty General/Acting AAG, ATR Division, Department of Justice

Date of Appointment: 04/10/2017

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Finch, Andrew [electronically signed on 05/07/2017 by Finch, Andrew in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Brand, Rachel, Certifying Official [electronically signed on 07/03/2017 by Brand, Rachel in Integrity.gov]**

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Other review conducted by

**/s/ Hale, Nina, Ethics Official [electronically signed on 06/29/2017 by Hale, Nina in Integrity.gov]**

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U.S. Office of Government Ethics Certification

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Data Revised 06/29/2017

Data Revised 06/28/2017

Data Revised 05/11/2017

Data Revised 05/08/2017

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Paul, Weiss, Rifkind, Wharton & Garrison LLP	New York, New York	Law Firm	Partner	1/2010	4/2017
2	School of American Ballet	New York, New York	Non-Profit	Member of Board of Directors	1/2014	Present
3	PW-1285 Investment, LP	New York, New York	Real Estate Investment Partnership	Limited Partner	6/2016	Present
4	Bill Cox Family Trust	New York, New York	Trust	Trustee and Contingent Beneficiary	4/2004	Present
5	PW-1285 Profits, LLC	New York, New York	Real Estate Investment LLC	Member	6/2016	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Paul, Weiss, Rifkind, Wharton & Garrison LLP (law firm)	N/A		Partnership Share	\$3,714,885
2	Paul, Weiss, Rifkind, Wharton & Garrison LLP capital account	N/A		Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Paul, Weiss, Rifkind, Wharton & Garrison LLP, defined benefit plan	N/A	None (or less than \$1,001)	I will continue to participate in this defined benefit plan, but the plan sponsor has not made and will not make further contributions for my benefit since the date of my separation from the firm. Value of benefit payments depends upon when I elect to begin receiving benefits.	\$0
4	Paul Weiss 401K Managed by Schwab inv. in Am. Funds Europacific Growth R4	See Endnote	Yes	\$100,001 - \$250,000	None (or less than \$201)
5	Paul Weiss Defined Contribution Plan (HR 10) managed by Schwab and invested in Vanguard Target Retirement 2035 Plan	See Endnote	Yes	\$250,001 - \$500,000	None (or less than \$201)
6	Paul Weiss Assured Severance Payout - April 7, 2017	N/A	\$100,001 - \$250,000	Payment of net present value of assured severance from Paul, Weiss (paid on April 7, 2017)	
7	Traditional IRA	See Endnote	\$1,001 - \$15,000	Capital Gains Dividends Interest	None (or less than \$201)
8	Morgan Stanley Roth IRA (Converted from Traditional IRA in 2017)	No			
8.1	--First Trust Dorsey Wright FO (FV) (Held in Roth IRA)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.2	--First Trust Fncl Alphadex ETF (FXO) (held in Roth IRA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Wisdomtree Trust Japn Hedge EQ (DXJ) (held in Roth IRA)	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

None

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### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Paul, Weiss, Rifkind, Wharton & Garrison LLP	New York, New York	
2	American Society of Composers, Authors and Publishers (ASCAP)	New York, New York	Legal services (provided through Paul, Weiss)
3	Deutsche Bank AG	Frankfurt, Germany, Outside U.S.	Legal services (provided through Paul, Weiss)
4	MasterCard International	Purchase, New York	Legal services (provided through Paul, Weiss)
5	Bank of Tokyo Mitsubishi-UFJ Financial Group Ltd	Tokyo, Japan, Outside U.S.	Legal services (provided through Paul, Weiss)
6	The Guardian Life Insurance Company	New York, New York	Legal services (provided through Paul, Weiss)
7	H.E.Butt Grocery Company	San Antonio, Texas	Legal services (provided through Paul, Weiss)
8	Agrium, Inc.	Calgary, Canada, Outside U.S.	Legal services (provided through Paul, Weiss)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
9	American Media, Inc.	New York, New York	Legal services (provided through Paul, Weiss)
10	Nippon Steel & Sumitomo Metal Inc.	Tokyo, Japan, Outside U.S.	Legal services (provided through Paul, Weiss)
11	Deutsche Lufthansa AG	Cologne, Germany, Outside U.S.	Legal services (provided through Paul, Weiss)
12	Apollo Global Management	New York, New York	Legal services (provided through Paul, Weiss)
13	Blink Health	New York, New York	Legal services (provided through Paul, Weiss)
14	Cigna	Bloomfield, Connecticut	Legal services (provided through Paul, Weiss)
15	InFront Sports and Media	Zug, Switzerland, Outside U.S.	Legal services (provided through Paul, Weiss)
16	Reckitt Benckiser	Slough, England, Outside U.S.	Legal services (provided through Paul, Weiss)
17	Taylor Morrison Communities	Scottsdale, Arizona	Legal services (provided through Paul, Weiss)

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA with Morgan Stanley	No	\$1,001 - \$15,000	Dividends Capital Gains Interest	
2	--Wisdomtree Europe Hedged Equity (held in IRA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	--Ishares Small Cap 600 G ETF (held in IRA)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	--Powershares EFT TR Ryna Buy Bk (held in IRA)	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Citibank Checking Account	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
2	Morgan Stanley Cash Account	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
3	Certificate of Deposit (Bank of Baroda New York NY)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
4	Apple Inc. (AAPL) Held by Stephanie Finch for Elizabeth Finch UTMA	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
5	Coca Cola (KO) held by Stephanie Finch for Elizabeth Finch UTMA	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
6	Microsoft Corp (MSFT) held by Stephanie Finch for Elizabeth Finch UTMA	N/A	\$1,001 - \$15,000	Capital Gains Dividends	None (or less than \$201)
7	Apple Inc.(AAPL) held by Stephanie Finch for Katherine Finch UTMA	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
8	Coca-Cola Co(KO) held by Stephanie Finch for Katherine Finch UTMA	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9	Bill Cox Family Trust	See Endnote	No	\$500,001 - \$1,000,000	Dividends Capital Gains Interest
9.1	--American Airlines Group (AAL) (Held in Bill Cox Family Trust)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2	--Anheuser Busch Inbev SA (BUD) (Held in Bill Cox Family Trust)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.3	--Apple Inc (AAPL) (Held in Bill Cox Family Trust)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.4	--Boeing Co (BA) (Held in Bill Cox Family Trust)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
9.5	--Chevron Corp (CVX) (Held in Bill Cox Family Trust)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.6	--Wells Fargo & Co New (WFC) (Held in Bill Cox Family Trust)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.7	--First Tr Valu.LN Div IDX (FVD) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.8	--First Trust Fncl Alphadex ETF (FXO) (Held in Bill Cox Family Trust)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.9	--FT North American Energy Infra (EMLP) (Held in Bill Cox Family Trust)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.10	--Ishares Morningstar Mid Cp Val (JKI) (Held in Bill Cox Family Trust)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.11	--Ishares Small Cap 600 G ETF (IJT) (Held in Bill Cox Family Trust)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.12	--Ishares Tips Bond ETF (TIP) (Held in Bill Cox Family Trust)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.13	--Wisdomtree CBOE S&P 500 ETF (PUTW) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.14	--Wisdomtree Trust Japn Hedge EQ (DXJ) (Held in Bill Cox Family Trust)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.15	--Delaware Natl Hi Yld Muni Bond I (DVHIX) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.16	--First Eagle Global I (SGIIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.17	--Goldman Sachs Rising Div GW I (GSRLX) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.18	--Mainstay US Equity Opport. (MYCIX) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.19	--Matthews Asian Japan Inv (MJFOX) (Held in Bill Cox Family Trust)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.20	--MSIF Int'l Advantage PTF I (MFAIX) (Held in Bill Cox Family Trust)		\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
9.21	--Natixis Vaughn Nelsn SMCP VL Y (NEJYX) (Held in Bill Cox Family Trust)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.22	--Principal GLB Divers Inc P (PGDPX) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.23	--Principal Midcap P (PMCPX) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.24	--TCW Total Return Bond I (TGLMX) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.25	--Thornburg Intl Growth (TINGX) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.26	Vanguard Wellesley Inc Inv (VWINX) (Held in Bill Cox Family Trust)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	PW-1285 Investment, LP	No	\$15,001 - \$50,000	Distribution of Income from real estate investment partnership	\$1,680
11	Pacific Life Insurance Company (universal life insurance)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	ACS	Student Loan	\$50,001 - \$100,000	2006	6.625%	2037

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	Navient	Student Loan	\$10,001 - \$15,000	1997	3.875%	2020
3	Citibank Line of Credit	Exercised Line of Credit	\$250,001 - \$500,000	2010	4.00%	Paid off in full in April 2017
4	American Express	Credit Card	\$15,001 - \$50,000	2015	Adjustable	Balance paid off in 2016

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

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## Endnotes

PART	#	ENDNOTE
2.	4	Plan sponsor will no longer make contributions to this plan on my behalf.
2.	5	I am a terminated vested participant. Plan sponsor will no longer make contributions to on my behalf.
2.	7	This Traditional IRA was converted into the Roth IRA in 2017 and all of the underlying assets were transferred into the Roth IRA. The Traditional IRA holds no remaining assets.
6.	9	I am trustee of the Bill Cox Family Trust, which established the Trust for Sandra Cox. The Trust for Sandra Cox holds assets and makes monthly distributions to Sandra Cox pursuant to the terms of the Bill Cox Family Trust as long as Sandra Cox lives. The Trust for Sandra Cox shall terminate on the death of Sandra Cox and the balance of the trust principal (if any) will be divided into five equal shares, one share for each surviving child or stepchild (including me) of Bill Cox. Accordingly, if I survive Sandra Cox, I will be entitled to one-fifth of the remaining trust principal (if any).

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## **Public Burden Information**

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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